

# How does Haskell Infer Types?

OutsideIn(X): Modular Type Inference with Local Assumptions

S.P. Jones et al. 2011

August 27, 2024

# Non-HM Features of Haskell

Constrained polymorphism:

```
1 member :: ∀a. Eq a => a -> [a] -> Bool
```

Indexed types:

```
1 data R (a :: *) where
2   RInt  :: Int  -> R Int
3   RBool :: Bool -> R Bool
```

Type families:

```
1 type family F :: * -> *
2 type instance F [a] = F a
3 type instance F Bool = Int
```

# Type Classes are Ambiguous

```
1 show :: forall a. Show a => a -> String
2 read :: forall a. Show a => String -> a
3
4 flop :: String -> String
5 flop s = show (read s)
```

- There's clearly a `Show α` constraint of some kind
- but there's no way to pick  $\alpha$ !
- We **must** reject such programs.

# Type Families Mess With Type Equality

```
1 type family Elem c :: *
2 class HasElem c where
3     first :: c -> Elem c
4
5 type instance Elem [a] = a
6 instance HasElem [a] where
7     first = head
8
9 type instance Elem (a,b) = a
10 instance HasElem (a,b) where
11     first = fst
12
13 exf :: (Elem a ~ Elem b, Eq a) => a -> b -> Bool
14 exf x y = first x == first y
15
16 -- exf [1] (1, False) is safe!
```

GADTs in Haskell can

- have *local assumptions*
- be *existential*
- be *indexed*

```
1 data Ex a where
2   ExEq :: forall b. Eq b => b -> Ex Bool
3   ExPl :: forall b. Num b => b -> Ex Int
4
5 ex :: Ex a -> a
6 ex (ExEq x) = x == x -- returns a Bool
7 ex (ExPl y) = y + 1 -- returns an Int
```

# Indexing is a Local Assumption

Natural representation of indexing via local assumption:

```
1 data R a where
2   RInt  :: Int  -> R Int
3   RBool :: Bool -> R Bool
```

```
1 data R a where
2   RInt  :: (a ~ Int)  => a -> R a
3   RBool :: (a ~ Bool) => a -> R a
```

# GADTs and Inconsistency

Should we accept this program?

```
1 data R a where
2   R1 :: (a ~ Int) => a -> R a
3   R2 :: (a ~ Bool) => a -> R a
4
5 foo :: R Int -> Int
6 foo (R1 y) = y
7 foo (R2 y) = False
```

Type Classes: tractable. [See HM(X)]

Type Families: type equality is *non-structural!*

GADTs: *local assumptions* – constraints are scoped

Many examples will follow!



# Indexing Loses Principal Types

```
1 data T a where
2   T1 :: Int -> T Bool
3   T2 :: T a
4
5 test (T1 n) _ = n > 0
6 test T2      r = r
```

# Indexing Loses Principal Types

```
1 data T a where
2   T1 :: Int -> T Bool
3   T2 :: T a
4
5 test (T1 n) _ = n > 0
6 test T2      r = r
```

$\text{test} :: \forall a. T\ a \rightarrow \text{Bool} \rightarrow \text{Bool}$   
or  
 $\text{test} :: \forall a. T\ a \rightarrow a \rightarrow a$

# Indexing Loses Principal Types

```
1 data T a where
2   T1 :: Int -> T Bool
3   T2 :: T a
4
5 test (T1 n) _ = n > 0
6 test T2      r = r
```

$\text{test} :: \forall a. T a \rightarrow \text{Bool} \rightarrow \text{Bool}$   
or  
 $\text{test} :: \forall a. T a \rightarrow a \rightarrow a$

```
1 test2 (T1 n) _ = n > 0
2 test2 T2      r = not r
```

# Classes + Annotations Lose Principality

```
1 class Foo a b where foo :: a -> b -> Int
2 instance Foo Int b
3 instance Foo a b => Foo [a] b
4
5 g y = let h :: forall c. c -> Int
6         h x = foo y x
7         in h True
```

`g` can have any of these types, but has no principal type:

- `g :: Int -> Int`
- `g :: [Int] -> Int`
- `g :: [[Int]] -> Int`
- `g :: ...`

Existential data types can replace `h` in the example.

# TCs + Constrained Data also a Problem

```
1 class C a
2 class B a b where op :: a -> b
3 instance C a => B a [a]
4
5 data R a where
6   MkR :: C a => a -> T a    -- not indexed
7
8 -- k :: ∀ab. B a b => R a -> b
9 -- k :: ∀a .           R a -> [a]
10 k (MkR x) = op x
```

# Failed Attempt

Quantification over constraints can fix the type system to restore principal types:

- $\text{test} :: \forall ab. (a \sim \text{Bool} \supset b \sim \text{Bool}) \Rightarrow T\ a \rightarrow b \rightarrow b$
- $g :: \forall b. (\forall c. \text{Foo}\ b\ c) \Rightarrow b \rightarrow \text{Int}$
- $k :: \forall ab. (C\ a \supset B\ a\ b) \Rightarrow R\ a \rightarrow b$

But this is undesirable:

- Type checking becomes undecidable
- We really did want to reject those programs!

# Our Challenge

Determine which definitions should be accepted, *independently of the constraint domain*.

# Natural Type System with Local Assumptions (Syntax)

Term variables:	$x, y, z, f, g, h$
Type variables:	$a, b, c$
Unification variables:	$\alpha, \beta, \gamma, \dots$
Data constructors:	$K$
Expressions:	$e$
Monotypes:	$\tau, v ::= a \mid \mathbf{Int} \mid \mathbf{T} \bar{\tau} \mid \mathbf{F} \bar{\tau} \mid \dots$
Constraints:	$Q ::= \varepsilon \mid Q_1 \wedge Q_2 \mid \tau_1 \sim \tau_2 \mid Q(X)$
Type schemes:	$\sigma ::= \forall \bar{a}. Q \Rightarrow \tau$
Top-level axioms:	$\mathcal{Q} ::= \varepsilon \mid \mathcal{Q} \wedge \mathcal{Q} \mid \forall \bar{a}. \mathcal{Q} \Rightarrow \mathcal{Q}$
Generated constraints:	$C ::= Q \mid C_1 \wedge C_2 \mid \exists \bar{a}. (Q \supset C)$



# Note: Data Constructor Types

$\Gamma_0$  initially contains data constructor types. Shape:

$$K : \forall \bar{a} \bar{b}. Q \Rightarrow \bar{v} \rightarrow \mathbb{T} \bar{a}$$

Note  $\bar{b}$  (existentials) and the local assumptions!

# Natural Type System with Local Assumptions

$$\frac{(\nu : \forall \bar{a}. Q_1 \Rightarrow v) \in \Gamma \quad Q \Vdash [\bar{a} \mapsto \bar{\tau}] Q_1}{Q; \Gamma \vdash \nu : [\bar{a} \mapsto \bar{\tau}] v} \text{VarCon}$$

$$\frac{Q; \Gamma \vdash e : \tau_1 \quad Q \Vdash \tau_1 \sim \tau_2}{Q; \Gamma \vdash e : \tau_2} \text{Eq}$$

$$\frac{Q; \Gamma \vdash e_1 : \tau_1 \quad Q; \Gamma, (x : \tau_1) \vdash e_2 : \tau_2}{Q; \Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : \tau_2} \text{Let}$$

$$\frac{Q \wedge Q_1; \Gamma \vdash e_1 : \tau_1 \quad \bar{a} \# \text{ftv}(Q, \Gamma) \quad Q; \Gamma, (x : \forall \bar{a}. Q_1 \Rightarrow \tau_1) \vdash e_2 : \tau_2}{Q; \Gamma \vdash \text{let } x :: \forall \bar{a}. Q_1 \Rightarrow \tau_1 = e_1 \text{ in } e_2 : \tau_2} \text{LetA}$$

# Natural System (Case)

$$\frac{Q; \Gamma \vdash e : \mathbb{T} \bar{\tau} \quad K_i : \forall \bar{a} \bar{b}. Q_i \Rightarrow \bar{v}_i \rightarrow \mathbb{T} \bar{a} \in \Gamma \quad ftv(Q, \Gamma, \bar{\tau}, \tau_r) \# \bar{b} \quad Q \wedge ([\bar{a} \mapsto \bar{\tau}] Q_i); \Gamma, (\overline{x_i : [\bar{a} \mapsto \bar{\tau}] v_i}) \vdash e_i : \tau_r}{Q; \Gamma \vdash \text{case } e \text{ of } \{ \overline{K_i \bar{x}_i \rightarrow e_i} \} : \tau_r} \text{Case}$$

# Natural is Too Permissive

Can't check principality. But it gets worse!

# Natural is Too Permissive

Can't check principality. But it gets worse!

Recall:

```
1 data T a where
2   T1 :: Int -> T Bool
3   T2 :: T a
```

Consider:

```
1 fr :: a -> T a -> Bool
2 fr x y = let gr z = not x
3           in case y of
4             T1 _ -> gr ()
5             T2   -> True
```

Type safe?

# Natural is Too Permissive

Can't check principality. But it gets worse!

Recall:

```
1 data T a where
2   T1 :: Int -> T Bool
3   T2 :: T a
```

Consider:

```
1 fr :: a -> T a -> Bool
2 fr x y = let gr z = not x
3           in case y of
4             T1 _ -> gr ()
5             T2   -> True
```

Type safe?

Yes – but we should reject it! Problem: `let` generalization.

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T\ a$$

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$



# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$
- 2 Learn  $\beta_x \sim T \ \gamma$  for some  $\gamma$

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$
- 2 Learn  $\beta_x \sim T \ \gamma$  for some  $\gamma$
- 3 In branch (where  $\gamma \sim \text{Bool}$ ),  $\alpha \sim \text{Bool}$

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$
- 2 Learn  $\beta_x \sim T \ \gamma$  for some  $\gamma$
- 3 In branch (where  $\gamma \sim \text{Bool}$ ),  $\alpha \sim \text{Bool}$
- 4 emit  $(\gamma \sim \text{Bool} \supset \alpha \sim \text{Bool})$  as constraint

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$
- 2 Learn  $\beta_x \sim T \ \gamma$  for some  $\gamma$
- 3 In branch (where  $\gamma \sim \text{Bool}$ ),  $\alpha \sim \text{Bool}$
- 4 emit  $(\gamma \sim \text{Bool} \supset \alpha \sim \text{Bool})$  as constraint
- 5 Solve it?

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$
- 2 Learn  $\beta_x \sim T \ \gamma$  for some  $\gamma$
- 3 In branch (where  $\gamma \sim \text{Bool}$ ),  $\alpha \sim \text{Bool}$
- 4 emit  $(\gamma \sim \text{Bool} \supset \alpha \sim \text{Bool})$  as constraint
- 5 Solve it?

# Type Inference with GADTs, Informally

Consider  $\lambda x \rightarrow \text{case } x \text{ of } \{ T1 \ n \rightarrow n > 0 \}$ , where

$$T1 : \forall a. (\text{Bool} \sim a) \Rightarrow \text{Int} \rightarrow T \ a$$

- 1 make up  $\alpha$  for whole term,  $\beta_x$  for  $x$
- 2 Learn  $\beta_x \sim T \ \gamma$  for some  $\gamma$
- 3 In branch (where  $\gamma \sim \text{Bool}$ ),  $\alpha \sim \text{Bool}$
- 4 emit  $(\gamma \sim \text{Bool} \supset \alpha \sim \text{Bool})$  as constraint
- 5 Solve it?

Can't solve it – two solutions!

- $[\alpha \mapsto \text{Bool}]$
- $[\alpha \mapsto \gamma]$

# Type Inference with GADTs, Informally

What about `\x -> case x of { T1 n -> n > 0; T2 -> True }`?

Constraints will be:

$$(\gamma \sim \text{Bool} \supset \alpha \sim \text{Bool}) \wedge (\alpha \sim \text{Bool})$$

This one is solvable.

# Type Inference with GADTs, Informally

What about `\x -> case x of { T1 n -> n > 0; T2 -> True }`?

Constraints will be:

$$(\gamma \sim \text{Bool} \supset \alpha \sim \text{Bool}) \wedge (\alpha \sim \text{Bool})$$

This one is solvable.

Idea: Treat global tyvars as skolem  
under implications.

(constraints solved separately!)



# Local type variables

What about local tyvars under implications?

Let

$$T3 : \forall a. (\text{Bool} \sim a) \Rightarrow [\text{Int}] \rightarrow T a$$
$$\text{null} : \forall d. [d] \rightarrow \text{Bool}$$

and consider `\x -> case x of { T3 n -> null n }`

# Local type variables

What about local tyvars under implications?

Let

$$\begin{aligned} T3 : \forall a. (\text{Bool} \sim a) \Rightarrow [\text{Int}] \rightarrow T a \\ \text{null} : \forall d. [d] \rightarrow \text{Bool} \end{aligned}$$

and consider `\x -> case x of { T3 n -> null n }`

We conclude

$$\gamma \sim \text{Bool} \supset (\alpha \sim \text{Bool} \wedge \delta \sim \text{Int})$$

But  $\delta$  is entirely local, so no risk to unify  $[\delta \mapsto \text{Int}]!$

$$\exists \delta. \gamma \sim \text{Bool} \supset (\alpha \sim \text{Bool} \wedge \delta \sim \text{Int})$$

We say  $\delta$  is “touchable.”

# Seems Conservative, Actually Robust

Conservative:

- Approach can't solve  $(\gamma \sim \mathbf{Bool} \supset \alpha \sim \mathbf{Int})$ .
- But the solution  $[\alpha \mapsto \mathbf{Int}]$  is unique!

# Seems Conservative, Actually Robust

Conservative:

- Approach can't solve  $(\gamma \sim \text{Bool} \supset \alpha \sim \text{Int})$ .
- But the solution  $[\alpha \mapsto \text{Int}]$  is unique!

Constraints are all solved in context of  $\mathcal{Q}$ .

- What if  $F \text{ Bool} \sim \text{Int} \in \mathcal{Q}$ ?
- New solution:  $[\alpha \mapsto F \gamma]$
- So we really should not solve above example.

# Seems Conservative, Actually Robust

Conservative:

- Approach can't solve  $(\gamma \sim \text{Bool} \supset \alpha \sim \text{Int})$ .
- But the solution  $[\alpha \mapsto \text{Int}]$  is unique!

Constraints are all solved in context of  $Q$ .

- What if  $F \text{ Bool} \sim \text{Int} \in Q$ ?
- New solution:  $[\alpha \mapsto F \gamma]$
- So we really should not solve above example.

Really is conservative, though:

- Cannot solve  $(\varepsilon \supset \alpha \sim \text{Int})\dots$
- but even in an open world there can only be one solution.

# Constraint Generation (Let)

$$\Gamma \vdash \triangleright e : \tau \rightsquigarrow C$$

...

# Constraint Generation (Let)

$$\boxed{\Gamma \vdash \! \blacktriangleright e : \tau \rightsquigarrow C}$$

...

$$\frac{\Gamma \vdash \! \blacktriangleright e_1 : \tau_1 \rightsquigarrow C_1 \quad \Gamma, (x : \tau_1) \vdash \! \blacktriangleright e_2 : \tau_2 \rightsquigarrow C_2}{\Gamma \vdash \! \blacktriangleright \text{let } x = e_1 \text{ in } e_2 : \tau_2 \rightsquigarrow C_1 \wedge C_2} \text{Let}$$

# Constraint Generation (Let)

$$\boxed{\Gamma \vdash \triangleright e : \tau \rightsquigarrow C}$$

...

$$\frac{\Gamma \vdash \triangleright e_1 : \tau_1 \rightsquigarrow C_1 \quad \Gamma, (x : \tau_1) \vdash \triangleright e_2 : \tau_2 \rightsquigarrow C_2}{\Gamma \vdash \triangleright \text{let } x = e_1 \text{ in } e_2 : \tau_2 \rightsquigarrow C_1 \wedge C_2} \text{Let}$$

$$\frac{\Gamma \vdash \triangleright e_1 : \tau \rightsquigarrow C_1 \quad \Gamma, (x : \tau) \vdash \triangleright e_2 : \tau_2 \rightsquigarrow C_2}{\Gamma \vdash \triangleright \text{let } x :: \tau_1 = e_1 \text{ in } e_2 : \tau_2 \rightsquigarrow C_1 \wedge C_2 \wedge \tau \sim \tau_1} \text{LetA}$$



# Constraint Generation (Let)

$$\boxed{\Gamma \vdash \triangleright e : \tau \rightsquigarrow C}$$

...

$$\frac{\Gamma \vdash \triangleright e_1 : \tau_1 \rightsquigarrow C_1 \quad \Gamma, (x : \tau_1) \vdash \triangleright e_2 : \tau_2 \rightsquigarrow C_2}{\Gamma \vdash \triangleright \text{let } x = e_1 \text{ in } e_2 : \tau_2 \rightsquigarrow C_1 \wedge C_2} \text{Let}$$

$$\frac{\Gamma \vdash \triangleright e_1 : \tau \rightsquigarrow C_1 \quad \Gamma, (x : \tau) \vdash \triangleright e_2 : \tau_2 \rightsquigarrow C_2}{\Gamma \vdash \triangleright \text{let } x :: \tau_1 = e_1 \text{ in } e_2 : \tau_2 \rightsquigarrow C_1 \wedge C_2 \wedge \tau \sim \tau_1} \text{LetA}$$

$$\frac{\begin{array}{l} \sigma_1 = \forall \bar{a}. Q_1 \Rightarrow \tau_1 \quad Q_1 \neq \varepsilon \text{ or } \bar{a} \neq \varepsilon \\ \Gamma \vdash \triangleright e_1 : \tau \rightsquigarrow C \quad \bar{\beta} = \text{fuv}(\tau, C) - \text{fuv}(\Gamma) \\ C_1 = \exists \bar{\beta}. (Q_1 \supset C \wedge \tau \sim \tau_1) \\ \Gamma, (x : \sigma_1) \vdash \triangleright e_2 : \tau_2 \rightsquigarrow C_2 \end{array}}{\Gamma \vdash \triangleright \text{let } x :: \sigma_1 = e_1 \text{ in } e_2 : \tau_2 \rightsquigarrow C_1 \wedge C_2} \text{GLETA}$$

# Constraint Generation (Case)

$$\begin{array}{l} \Gamma \vdash \! \blacktriangleright e : \tau \rightsquigarrow C \quad \beta, \bar{\gamma} \text{ fresh} \\ K_i : \forall \bar{a} \bar{b}_i. Q_i \Rightarrow \bar{v}_i \rightarrow \mathbb{T} \bar{a} \quad \bar{b}_i \text{ fresh} \\ \Gamma, (\overline{x_i : [\bar{a} \mapsto \bar{\gamma}] v_i}) \vdash \! \blacktriangleright e_i : \tau_i \rightsquigarrow C_i \\ \bar{\delta}_i = fuv(\tau_i, C_i) - fuv(\Gamma, \bar{\gamma}) \\ C'_i = \begin{cases} C_i \wedge \tau_i \sim \beta & \text{if } \bar{b}_i = \varepsilon \text{ and } Q_i = \varepsilon \\ \exists \bar{\delta}_i. ([\bar{a} \mapsto \bar{\gamma}] Q_i \supset C_i \wedge \tau_i \sim \beta) & \text{otherwise} \end{cases} \\ \hline \Gamma \vdash \! \blacktriangleright \text{case } e \text{ of } \{ \overline{K_i \bar{x}_i \rightarrow e_i} \} : \beta \rightsquigarrow C \wedge (\mathbb{T} \bar{\gamma} \sim \tau) \wedge (\bigwedge C'_i) \end{array} \quad \text{CASE}$$

$$\boxed{Q; Q_{given}; \bar{a}_{tch} \vdash \xrightarrow{\text{solv}} C_{wanted} \rightsquigarrow Q_{residual}; \theta}$$

$Q$             global axioms

$Q_{given}$      given constraints (no  $\exists$ )

$\bar{a}_{tch}$         touchable variables

$C_{wanted}$     constraints to solve

$Q_{residual}$    constraints failed to solve

$\theta$             substitution witness ( $dom(\theta) \subseteq \bar{a}_{tch}$ )

# Top-level Inference Rules

$$Q; \Gamma \vdash \triangleright prog$$

$$\frac{\begin{array}{l} \Gamma \vdash \triangleright e : v \rightsquigarrow C \\ Q; Q; fuv(v, C) \vdash^{solv} C \wedge v \sim \tau \rightsquigarrow \varepsilon; \theta \\ Q; \Gamma, (f : \forall \bar{a}. Q \Rightarrow \tau) \vdash \triangleright prog \end{array}}{Q; \Gamma \vdash \triangleright f :: (\forall \bar{a}. Q \Rightarrow \tau) = e, prog} \text{ BINDA}$$

# Top-level Inference Rules

$$\boxed{Q; \Gamma \vdash \text{prog}}$$

$$\frac{\begin{array}{c} \Gamma \vdash e : v \rightsquigarrow C \\ Q; Q; fuv(v, C) \vdash^{solv} C \wedge v \sim \tau \rightsquigarrow \varepsilon; \theta \\ Q; \Gamma, (f : \forall \bar{a}. Q \Rightarrow \tau) \vdash \text{prog} \end{array}}{Q; \Gamma \vdash f :: (\forall \bar{a}. Q \Rightarrow \tau) = e, \text{prog}} \text{ BINDA}$$

$$\frac{\begin{array}{c} \Gamma \vdash e : \tau \rightsquigarrow C \quad Q; \varepsilon; fuv(\tau, C) \vdash^{solv} C \rightsquigarrow Q; \theta \\ \bar{a} \text{ fresh} \quad \bar{a} = fuv(\theta\tau, Q) \\ Q; \Gamma, (f : \forall \bar{a}. [\bar{a} \mapsto a](Q \Rightarrow \theta\tau)) \vdash \text{prog} \end{array}}{Q; \Gamma \vdash f = e, \text{prog}} \text{ BIND}$$

# Parameterized Constraint Solver

$$Q; Q_{given}; \bar{a}_{tch} \vdash \xrightarrow{simp} Q_{wanted} \rightsquigarrow Q_{residual}; \theta$$

(constraint solver for X)

$$Q; Q_{given}; \bar{a}_{tch} \vdash \xrightarrow{solv} C_{wanted} \rightsquigarrow Q_{residual}; \theta$$

$$\frac{\begin{array}{l} Q; Q_g; \bar{\alpha} \vdash \xrightarrow{simp} \mathbf{simple}[C] \rightsquigarrow Q_r; \theta \\ \forall (\exists \bar{\alpha}_i. (Q_i \supset C_i) \in \mathbf{implic}[\theta C]), \\ Q; Q_g \wedge Q_r \wedge Q_i; \bar{\alpha}_i \vdash \xrightarrow{solv} C_i \rightsquigarrow \epsilon; \theta_i \end{array}}{Q; Q_g; \bar{\alpha} \vdash \xrightarrow{solv} C \rightsquigarrow Q_r; \theta} \text{ SOLVE}$$

# Aside: Skolem Escape Checks

Should this typecheck?

```
1 data Ex where
2   Ex :: forall b. b -> Ex
3
4 f = case (Ex 3) of Ex _ -> False
```

## Aside: Skolem Escape Checks

Should this typecheck?

```
1 data Ex where
2   Ex :: forall b. b -> Ex
3
4 f = case (Ex 3) of Ex _ -> False
```

- Only constraint will be  $\varepsilon \supset \alpha \sim \text{Bool} \dots$
- but we can't solve it, because  $\alpha$  is skolem under implication
- Since LHS doesn't entail equalities, we could float RHS ...
- as long as we make sure that  $b$  doesn't escape.



# What have we Achieved? (stopping point)

- Seen why Haskell type inference is hard.
- Established “natural” type system – accepts too many programs.
- Seen *implication constraints* and *touchable variables*
- Observed *OutsideIn(X)* is incomplete.
- Studied constraint generation + algorithm for discharging implications.

Still to do:

- Solve *non-implication* constraints!
- “evidence”: solving constraints effects object code, so witnesses of solution are required.
- Interaction with other type system features.

# Syntactic Extensions

$$\tau ::= \dots \mid F \bar{\tau}$$
$$Q ::= \dots \mid D \bar{\tau}$$
$$\mathcal{Q} ::= Q \mid \mathcal{Q} \wedge \mathcal{Q} \mid \forall \bar{a}. Q \Rightarrow D \bar{\tau} \mid \forall \bar{a}. F \bar{\xi} \sim \tau$$

$\zeta, \xi \in \{\tau \mid \tau \text{ contains no type families}\}$

$$\mathbb{T} ::= \mathbb{T} \bar{\mathbb{T}} \mid F \bar{\mathbb{T}} \mid \mathbb{T} \rightarrow \mathbb{T} \mid tv \mid \bullet$$
$$\mathbb{F} ::= F \bar{\mathbb{T}}$$
$$\mathbb{D} ::= D \bar{\mathbb{T}}$$

# Solving Equality Constraints is Tricky!

Consider:

```
1 type instance F [Int] = Int
2 type instance G [a]   = Bool
3
4 -- Assume g :: forall b. b -> G b
5
6 f :: forall a. (a ~ [F a]) => a -> Bool
7 f x = g x
```

# Solving Equality Constraints is Tricky!

Consider:

```
1 type instance F [Int] = Int
2 type instance G [a]   = Bool
3
4 -- Assume g :: forall b. b -> G b
5
6 f :: forall a. (a ~ [F a]) => a -> Bool
7 f x = g x
```

- 1  $G a \sim \text{Bool}$
- 2  $a \sim [F a]$  given  $\implies G [F a] \sim \text{Bool}$
- 3 Discharge with axiom for  $G$ .

But step 2 could be repeated forever!

Even worse: how to use givens like  $F (G a) \sim G a$ ?

- See importance of order insensitivity
- *intuitively* understand the simplifier

Full details of the solver are the subject of nearly half the paper. I'd encourage you to read it if you're interested, but it's way more than I want to cover here.

# What are we simplifying?

Recall the signature:

$$\mathcal{Q}; Q_{given}; \bar{a}_{tch} \mapsto^{simp} Q_{wanted} \rightsquigarrow Q_{residual}; \theta$$

- Form “state quadruple”  $\langle \bar{a}, \varphi, Q_g, Q_w \rangle$ .
- Apply rules to rewrite this to equivalent tuples.
- Attempt to discharge non-subst equalities in  $Q_w$ .
- Any remaining non-subst equalities in  $\varphi Q_w$  will be  $Q_r$ .
- Form  $\theta$  from remaining subst equalities in  $\varphi Q_w$ .

# What are we simplifying?

Recall the signature:

$$\mathcal{Q}; Q_{given}; \bar{a}_{tch} \mapsto^{simp} Q_{wanted} \rightsquigarrow Q_{residual}; \theta$$

- Form “state quadruple”  $\langle \bar{a}, \varphi, Q_g, Q_w \rangle$ .
- Apply rules to rewrite this to equivalent tuples.
- Attempt to discharge non-subst equalities in  $Q_w$ .
- Any remaining non-subst equalities in  $\varphi Q_w$  will be  $Q_r$ .
- Form  $\theta$  from remaining subst equalities in  $\varphi Q_w$ .

Why is  $Q_g$  in the state? Consider

$$F \bar{\xi} \sim \zeta_1 \wedge F \bar{\xi} \sim \zeta_2$$

Rewriting  $Q_g$  is only way to extract  $\zeta_1 \sim \zeta_2$ . Other reasons too.

# Canonical Form (CF)

Core concept, especially for reasoning about termination!  
Primarily concerned with eliminating type families.

- $tv \sim \xi$
- $F \bar{\xi} \sim \zeta$
- $D \bar{\xi}$

Property: type family may **only** be at head.

Property: type family may **only** be on the left.



# Types of Rewrites

- 1 Canonicalize: rewrite  $g$  or  $w$  constraint towards CF (16)
- 2 Interact: simplify a  $g$  or  $w$  constraint using another (12)
- 3 Simplify: rewrite  $w$  constraint using  $g$  constraint (6)
- 4 Top-level reaction: rewrite  $w$  constraint using axiom (4)

Order-insensitivity means any of the 38 rules can be applied at any time without changing the final solution.

$$\boxed{\text{canon}[\ell] ( Q_1 ) = \{\bar{\beta}, \varphi, Q_2\}_\perp}$$

Contains all rules from original HM system except Elim:

- $\text{canon}[\ell](\tau \sim \tau) = \{\varepsilon, \varepsilon, \varepsilon\}$
- $\text{canon}[\ell](\mathbf{T} \bar{\tau}_1 \sim \mathbf{T} \bar{\tau}_2) = \{\varepsilon, \varepsilon, \wedge \overline{\tau_1 \sim \tau_2}\}$
- An orient rule (exact ordering not important)
- Two error cases (mismatched constructor, occurs check)

$$\boxed{\text{canon}[\ell] ( Q_1 ) = \{\bar{\beta}, \varphi, Q_2\}_\perp}$$

Contains all rules from original HM system except Elim:

- $\text{canon}[\ell](\tau \sim \tau) = \{\varepsilon, \varepsilon, \varepsilon\}$
- $\text{canon}[\ell](\mathbb{T} \bar{\tau}_1 \sim \mathbb{T} \bar{\tau}_2) = \{\varepsilon, \varepsilon, \bigwedge \bar{\tau}_1 \sim \bar{\tau}_2\}$
- An orient rule (exact ordering not important)
- Two error cases (mismatched constructor, occurs check)

Also contains 6 “flattening” rules, such as

$$\text{canon}[w](\mathbb{F}[G \bar{\xi}] \sim \tau) = \{\beta, \varepsilon, \mathbb{F}[\beta] \sim \tau \wedge G \bar{\xi} \sim \beta\}$$

One per  $\mathbb{T}, \mathbb{F}, \mathbb{D}$  and per  $g, w$ .

In the  $g$  case, we must *justify* the flattening by adding it to  $\varphi$ .

# Binary Interaction

Transform two **canonical**  $g$  or  $w$  constraints to simpler pair.

Example:  $tv \sim \xi_1 \wedge tv \sim \xi_2$  are not “subst equalities” because the LHS is the same type variable. Rewrite to

$$tv \sim \xi_1 \wedge \xi_1 \sim \xi_2$$

Now they are subst equalities (in suitable  $Q$ ).

# Binary Interaction

Transform two **canonical**  $g$  or  $w$  constraints to simpler pair.  
Example:  $tv \sim \xi_1 \wedge tv \sim \xi_2$  are not “subst equalities” because the LHS is the same type variable. Rewrite to

$$tv \sim \xi_1 \wedge \xi_1 \sim \xi_2$$

Now they are subst equalities (in suitable  $Q$ ).

Other rules:

- use  $tv \sim \xi$  to rewrite  $tv$  in second constraint (3)
- Pair of equalities for  $F \bar{\xi}$  (seen before)
- Delete one of  $D \bar{\xi} \wedge D \bar{\xi}$

Like the binary interaction rules, except directional.

Two key differences:

- 1 Simplifying wanted  $tv \sim \xi$ . What could be simpler?
  - If given is also  $tv' \sim \zeta$ , can apply it as subst ...
  - But what if given is type family equality or dict constraint?
  - Should not create new “flattening wanted” – more work!
  - **Must not** create new givens – no justification!
- 2 Pair of matching type class constraints discharges the wanted one.

# Top-level Reactions

Straightforward: apply matching type family / instance axioms.  
One catch: if reacting given type class constraint, and have matching instance axiom, this should be an *error*. Consider:

```
1 class D a where
2   d :: a -> Bool
3 instance C a => D [a] where ...
4
5 f :: forall a. D [a] => [a] -> Bool
6 f x = d x
```

Discharge `d` with local evidence or global?

# Top-level Reactions

Straightforward: apply matching type family / instance axioms.  
One catch: if reacting given type class constraint, and have matching instance axiom, this should be an *error*. Consider:

```
1 class D a where
2   d :: a -> Bool
3 instance C a => D [a] where ...
4
5 f :: forall a. D [a] => [a] -> Bool
6 f x = d x
```

Discharge `d` with local evidence or global?

- Simplest answer: don't answer!
- GHC answer: very complicated instance resolution
  - Applied at simplifying class constraints + here
  - >12 pages of docs to describe



Additional achievement: solve non-implication constraints!

Takeaways:

- Type inference is hard
- Complete type inference is (literally) impossible
  - Type family can encode addition, lead to looping
  - Settle for knowing algorithm is sound and principal
- Consider not generalizing `let` in your systems
- Solving non-structural equalities is hard
- Carefully crafted rewrite systems can do a lot of work!