

Weakening in Simple Type Theory

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Summary

We formulate the Curry-style simple type theory using the [map/skeleton](#) representation of untyped lambda terms introduced in [Sato et al. 2013].

We illustrate the usefulness of our approach by showing the admissibility of the weakening rule.

[Sato et al. 2013] Sato, M., Pollack, R., Schwichtenberg, H. and Sakurai, T., Viewing λ -terms through maps, *Indag. Math.*, **24**, 1073 – 1104, 2013.

We have formally verified all the technical results in the above paper in the proof assistants Minlog and Isabelle.

Good points of our approach

- The inductive structure of the terms is nicer compared to other approaches.
- Can define closed lambda terms directly without first defining the lambda terms containing free parameters.
- Can use the same technique to define **sentences** without first defining **formulas** containing free parameters.
- A special generic constant \square must be included as a term, however.

Part I

Untyped Lambda-terms

Summary of Part I

Two datatypes

We will relate the two datatypes Λ of traditional raw lambda-terms and \mathbb{L} of our datatype based on map/skeleton.

Λ = The datatype of raw λ -terms.

\mathbb{L} = The datatype of lambda-expressions.

Two types of abstractions

Λ : abstraction by parameters $x \in \mathbb{X}$.

\mathbb{L} : abstraction by maps $m \in \mathbb{M}$.

Summary of Part I (cont.)

$K, L \in \Lambda ::= x \mid \square \mid \text{app}(K, L) \mid \text{lam}(x, K).$

$M, N \in \mathbb{L} ::= x \mid \square \mid \text{app}(M, N) \mid \text{mask}(m, M) \quad (m \mid M).$

$x \in \mathbb{X}.$

$m \in \mathbb{M}.$

\square is a special constant denoting a **hole** to be filled with lambda expressions.

The notion of map

The notion of **map** is a generalization of the notion of **occurrence** of a symbol in syntactic expressions such as formulas or lambda terms.

Plan of the talk

- Part I.1. \mathbb{L} .
- Part I.2. Λ . Will show $\mathbb{L} \simeq \Lambda / \equiv_{\alpha}$.
- Part II. Simple type theory

[map/skeleton](#) functions will play important roles in all the 3 parts.

Part I.1

\mathbb{L}

The Datatype of Lambda-expressions

The Datatype \mathbb{M} of Maps

$$\overline{0 \in \mathbb{M}} \quad \overline{1 \in \mathbb{M}}$$

$$\frac{m \in \mathbb{M} \quad n \in \mathbb{M} \quad m \neq 0 \text{ or } n \neq 0}{\text{cons}(m, n) \in \mathbb{M}}$$

Note that

$$\text{cons} : \mathbb{M} \times \mathbb{M} \rightarrow \mathbb{M}$$

is a partial function.

We will write $(m \ n)$ or mn for $\text{cons}(m, n)$.

We will also write 0 for $(0 \ 0)$.

Orthogonality and order relations on maps

$$\overline{m \perp 0} \quad \overline{0 \perp n} \quad \frac{m \perp n \quad m' \perp n'}{mm' \perp nn'}$$

Example: $(1 \ 0) \perp (0 \ 1)$ but not $(1 \ 1) \perp (0 \ 1)$.

$$\overline{0 \leq n} \quad \overline{1 \leq 1} \quad \frac{m \leq n \quad m' \leq n'}{mm' \leq nn'}$$

The Datatype \mathbb{X} of Parameters

We assume a countably infinite set \mathbb{X} of **parameters**.

We will write x, y, z for parameters.

We assume that equality relation on \mathbb{X} is **decidable**.

The Datatype \mathbb{L} and the Divisibility Relation

$$\overline{x \in \mathbb{L}} \text{ par} \quad \overline{\square \in \mathbb{L}} \text{ box}$$

$$\frac{M \in \mathbb{L} \quad N \in \mathbb{L}}{\text{app}(M, N) \in \mathbb{L}} \text{ app}$$

$$\frac{m \in \mathbb{M} \quad M \in \mathbb{L} \quad m \mid M}{\text{mask}(m, M) \in \mathbb{L}} \text{ mask}$$

$$\overline{0 \mid x} \quad \overline{0 \mid \square} \quad \overline{1 \mid \square}$$

$$\frac{m \mid M \quad n \mid N}{\text{mapp}(m, n) \mid \text{app}(M, N)}$$

$$\frac{m \mid N \quad n \mid N \quad m \perp n}{m \mid \text{mask}(n, N)}$$

The Datatype \mathbb{L} of lambda-expressions (cont.)

Notational Convention

- We use M, N, P as metavariables ranging over lambda-expressions.
- We write $(M N)$ and also MN for $\text{app}(M, N)$.
- We write $m \backslash M$ for $\text{mask}(m, M)$.
- A lambda-expression of the form $m \backslash M$ is called an **abstract**.
- We use A, B as metavariables ranging over abstracts, and write \mathbb{A} for the subset of \mathbb{L} consisting of all the abstracts.

map : $\mathbb{X} \times \mathbb{L} \rightarrow \mathbb{M}$ and **skel** : $\mathbb{X} \times \mathbb{L} \rightarrow \mathbb{L}$

We write M_x for $\text{map}(x, M)$, and M^x for $\text{skel}(x, M)$.

$$y_x := \begin{cases} 1 & \text{if } x = y, \\ 0 & \text{if } x \neq y. \end{cases}$$

$$\square_x := 0$$

$$(M N)_x := (M_x N_x).$$

$$(m \setminus M)_x := M_x.$$

$$y^x := \begin{cases} \square & \text{if } x = y, \\ y & \text{if } x \neq y. \end{cases}$$

$$\square^x := \square$$

$$(M N)^x := (M^x N^x).$$

$$(m \setminus M)^x := m \setminus M^x.$$

Lambda Abstraction in \mathbb{L}

We define $\text{lam} : \mathbb{X} \times \mathbb{L} \rightarrow \mathbb{L}$ by:

$$\text{lam}(x, M) := M_x \setminus M^x.$$

Examples. We assume that x , y and z are distinct parameters.

$$\text{lam}(x, x) = 1 \setminus \square.$$

$$\text{lam}(x, y) = 0 \setminus y.$$

$$\begin{aligned} \text{lam}(x, \text{lam}(y, x)) &= \text{lam}(x, 0 \setminus x) \\ &= 1 \setminus 0 \setminus \square. \end{aligned}$$

$$\begin{aligned} \text{lam}(x, \text{lam}(y, y)) &= \text{lam}(x, \text{lam}(1, \square)) \\ &= 0 \setminus 1 \setminus \square. \end{aligned}$$

$$\begin{aligned} \text{lam}(x, \text{lam}(y, \text{lam}(z, (xz \ yz)))) &= \\ (10 \ 00) \setminus (00 \ 10) \setminus (01 \ 01) \setminus (\square \square \ \square \square) \end{aligned}$$

Instantiation

We define the instantiation operation $\nabla : \mathbb{A} \times \mathbb{L} \rightarrow \mathbb{L}$ as follows.

$$0 \setminus M \nabla P := M$$

$$1 \setminus \square \nabla P := P$$

$$(m \ n) \setminus (M \ N) \nabla P := (m \setminus M \nabla P \ n \setminus N \nabla P)$$

$$m \setminus n \setminus N \nabla P := n \setminus (m \setminus N \nabla P)$$

Remark. We remark that for any fresh x , we have:

$$m \setminus M = \text{lam}(x, m \setminus M \nabla x).$$

Moreover, putting $N := m \setminus M \nabla x$, we have $m \setminus M = N_x \setminus N^x$.
Namely, $m = N_x$ and $M = N^x$.

Substitution

We can now define **substitution** operation:

subst : $\mathbb{L} \times \mathbb{X} \times \mathbb{L} \rightarrow \mathbb{L}$ as follows.

$$[P/x]M := \text{lam}(x, M) \blacktriangledown P.$$

subst enjoys the following property.

$$[P/x]y = \begin{cases} P & \text{if } x = y, \\ y & \text{if } x \neq y. \end{cases}$$

$$[P/x]\square = \square.$$

$$[P/x](M N) = ([P/x]M [P/x]N).$$

$$[P/x](m \setminus M) = (m \setminus [P/x]M).$$

Substitution (cont.)

Example.

$$\begin{aligned} [y/x]\text{lam}(y, yx) &= [y/x](10 \backslash \square x) \\ &= 10 \backslash [y/x](\square x) \\ &= 10 \backslash ([y/x] \square [y/x]x) \\ &= 10 \backslash \square y \\ &= \text{lam}(z, zy) \end{aligned}$$

Remark. By internalizing the substitution operation, we can easily get an **explicit substitution** calculus.

Substitution Lemma

If $x \neq y$ and $x \notin \text{FP}(P)$, then

$$[P/y][N/x]M = [[P/y]N/x][P/y]M.$$

Proof. By induction on $M \in \mathbb{L}$. Here, we only treat the case where $M = m \setminus M'$.

$$\begin{aligned} & [P/y][N/x]M \\ &= [P/y][N/x](m \setminus M') \\ &= m \setminus [P/y][N/x]M' \\ &= m \setminus [[P/y]N/x][P/y]M' && \text{(by IH)} \\ &= [[P/y]N/x][P/y](m \setminus M') \\ &= [[P/y]N/x][P/y]M. \end{aligned}$$

Substitution (cont.)

We can develop a theory of lambda-calculus on the datatype \mathbb{L} of lambda expressions.

It is remarkable that the theory can be developed without using the notions of variable, lambda abstraction and substitution.

For example, the β -reduction rule is defined by:

$$(m \setminus M P) \rightarrow_{\beta} m \setminus M \blacktriangledown P$$

without mentioning variables, lambda abstraction and substitution.

Note that in the traditional lambda calculus, the β -rule is:

$$(\lambda_x M P) \rightarrow_{\beta} [P/x]M$$

Part 1.2

Λ

The Datatype of Raw Lambda-terms

The Datatype Λ of Raw λ -terms

$$\overline{x \in \Lambda} \text{ par} \qquad \overline{\square \in \Lambda} \text{ box}$$

$$\frac{K \in \Lambda \quad L \in \Lambda}{\text{app}(K, L) \in \Lambda} \text{ app} \qquad \frac{x \in \mathbb{X} \quad K \in \Lambda}{\text{lam}(x, K) \in \Lambda} \text{ lam}$$

$$K, L \in \Lambda ::= x \mid \square \mid \text{app}(K, L) \mid \text{lam}(x, K).$$

Remark. lam binds parameter x in M .

$\text{map} : \mathbb{X} \times \Lambda \rightarrow \mathbb{M}$ and $\text{skel} : \mathbb{X} \times \Lambda \rightarrow \Lambda$

$$y_x := \begin{cases} 1 & \text{if } x = y, \\ 0 & \text{if } x \neq y. \end{cases}$$

$$\square_x := 0.$$

$$(K L)_x := (K_x L_x).$$

$$\text{lam}(y, K)_x := \begin{cases} 0 & \text{if } x = y, \\ K_x & \text{if } x \neq y. \end{cases}$$

$$y^x := \begin{cases} \square & \text{if } x = y, \\ y & \text{if } x \neq y. \end{cases}$$

$$\square^x := \square.$$

$$(K L)^x := (K^x L^x).$$

$$\text{lam}(y, K)^x := \begin{cases} \text{lam}(y, K) & \text{if } x = y, \\ \text{lam}(y, K^x) & \text{if } x \neq y. \end{cases}$$

Map and Skeleton (cont.)

x does not occur free in K

$$\iff K_x = 0$$

$$\iff K^x = K$$

Remark. This shows that the notion of map is a generalization of the notion of occurrence.

α -equivalence Relation

We define the α -equivalence relation, $=_\alpha$, using the map/skeleton functions.

$$\overline{x =_\alpha x} \qquad \overline{\square =_\alpha \square}$$

$$\frac{K =_\alpha K' \quad L =_\alpha L'}{KL =_\alpha K'L'} \qquad \frac{K_x = L_y \quad K^x =_\alpha L^y}{\text{lam}(x, K) =_\alpha \text{lam}(y, L)}$$

Remark. No renaming is needed in this definition, and it is easy to verify that this is indeed a decidable equivalence relation.

α -equivalence Relation

We can show that $\text{lam}(x, \text{lam}(y, yx)) =_{\alpha} \text{lam}(y, \text{lam}(x, xy))$ as follows.

$$\frac{\text{01} = \text{01} \quad \frac{\frac{\frac{\square =_{\alpha} \square \quad \square =_{\alpha} \square}{\square\square =_{\alpha} \square\square}}{\text{lam}(y, y\square) =_{\alpha} \text{lam}(x, x\square)}}{\text{lam}(x, \text{lam}(y, yx)) =_{\alpha} \text{lam}(y, \text{lam}(x, xy))}}$$

Interpretation of Λ in \mathbb{L}

We define the interpretation function $\llbracket - \rrbracket : \Lambda \rightarrow \mathbb{L}$ as follows.

$$\llbracket x \rrbracket := x.$$

$$\llbracket \square \rrbracket := \square.$$

$$\llbracket KL \rrbracket := \llbracket K \rrbracket \llbracket L \rrbracket.$$

$$\llbracket \text{lam}(x, K) \rrbracket := \text{lam}(x, \llbracket K \rrbracket).$$

Remark. Two raw λ -terms K and L are α -equivalent iff $\llbracket M \rrbracket = \llbracket N \rrbracket$.

Part II

Simple Type Theory

We will work in \mathbb{L} and write $\lambda_x M$ for $M_x \setminus M^x$.

Simple types

Simple types are defined by the grammar:

$$\sigma, \rho ::= \iota \mid \rho \rightarrow \sigma,$$

where ι ranges over a set of **base type symbols**.

A **typing context** is a finite **sequence** of **type assignments** $x_i : \sigma_i$:

$$\Gamma = x_1 : \sigma_1, \dots, x_n : \sigma_n,$$

where $n \geq 0$, and x_i are all distinct from each other.

We put

$$\text{Vars}(\Gamma) := \{x_1, \dots, x_n\}.$$

Simple type theory in traditional form

The judgments we treat in the traditional calculus are of the form:

$$\Gamma \vdash_{\lambda} M : \sigma$$

$$\frac{x : \sigma \in \Gamma}{\Gamma \vdash_{\lambda} x : \sigma} \text{ (Ini)} \qquad \frac{\Gamma \vdash_{\lambda} M : \rho \rightarrow \sigma \quad \Gamma \vdash_{\lambda} N : \rho}{\Gamma \vdash_{\lambda} (M N) : \sigma} \text{ (}\rightarrow\text{E)}$$

$$\frac{\Gamma, x : \rho \vdash_{\lambda} M : \sigma}{\Gamma \vdash_{\lambda} \lambda_x M : \rho \rightarrow \sigma} \text{ (}\rightarrow\text{I)}$$

Remark.

- 1 In the (Ini) rule, if x is declared more than once in Γ , then we use the right-most type assignment $x : \sigma$.
- 2 If $\Gamma \vdash_{\lambda} M : \sigma$ is derivable then M is \square -free. In particular, $\Gamma \vdash_{\lambda} \square : \sigma$ is not derivable.

Simple type theory in traditional form (cont.)

We note that the $(\rightarrow I)$ rule is an abbreviation of the following rule:

$$\frac{\Gamma, x : \rho \vdash_{\lambda} M : \sigma}{\Gamma \vdash_{\lambda} M_x \setminus M^x : \rho \rightarrow \sigma} (\rightarrow I)$$

Putting $A := M_x \setminus M^x$, we have

$$\frac{\Gamma, x : \rho \vdash_{\lambda} A \blacktriangledown x : \sigma}{\Gamma \vdash_{\lambda} A : \rho \rightarrow \sigma} (\rightarrow I)$$

So, we can reformulate the type theory as follows.

Simple type theory in traditional form (cont.)

$$\frac{x : \sigma \in \Gamma}{\Gamma \vdash_{\lambda} x : \sigma} \text{ (Ini)} \qquad \frac{\Gamma \vdash_{\lambda} M : \rho \rightarrow \sigma \quad \Gamma \vdash_{\lambda} N : \rho}{\Gamma \vdash_{\lambda} (M N) : \sigma} \text{ (}\rightarrow\text{E)}$$

$$\frac{\Gamma, x : \rho \vdash_{\lambda} A \blacktriangledown x : \sigma}{\Gamma \vdash_{\lambda} A : \rho \rightarrow \sigma} \text{ (}\rightarrow\text{I)} \qquad \frac{\Gamma, x : \rho \vdash_{\lambda} M : \sigma}{\Gamma \vdash_{\lambda} \lambda_x M : \rho \rightarrow \sigma} \text{ (}\rightarrow\text{I)}$$

Remark. The conclusion of the original (\rightarrow I) rule mentions x , but the new form of the rule does not. Moreover, we have the following theorem.

Theorem

$$\Gamma \vdash_{\lambda} A : \rho \rightarrow \sigma$$

$$\iff \Gamma, x : \rho \vdash_{\lambda} A \blacktriangledown x : \sigma \text{ for some/any } x \text{ not in } \text{Vars}(\Gamma).$$

Admissibility of the weakening rule

Proposition

$$\Gamma \vdash_{\lambda} M : \sigma, x \notin \text{Vars}(\Gamma) \implies \Gamma, x : \rho \vdash_{\lambda} M : \sigma.$$

Proof by induction on the derivation (in the traditional syntax) of $\Gamma \vdash_{\lambda} M : \sigma$ fails. Consider the case where $M = \lambda_x M'$ and the bottom part of the derivation is:

$$\frac{\Gamma, x : \rho \vdash_{\lambda} M' : \sigma'}{\Gamma \vdash_{\lambda} \lambda_x M' : \rho \rightarrow \sigma'}.$$

We cannot apply IH in this case. We have to prove by induction on the size of the derivation.

Frege-style formulation of simple type theory

Let M be a hole-free lambda-term such that $\text{Vars}(M)$ is, say, $\{x_1, x_2\}$.

Suppose further that

$$x_1 : \rho_1, x_2 : \rho_2 \vdash_{\lambda} M : \sigma.$$

Then we have

$$\vdash_{\lambda} m_1 \setminus m_2 \setminus N : \rho_1 \rightarrow \rho_2 \rightarrow \sigma,$$

where $m_i = M_{x_i}$ and $N = (M^{x_2})^{x_1}$.

Conversely, if we have the latter judgment we also have the former judgement. Thus, in general, type-assignments for open terms can be reduced to those for closed terms.

Frege-style simple simple type theory for closed terms

$$\frac{|\vec{u}| = |\vec{\mu}| \quad \vec{u}_i = 1 \quad \vec{\mu}_i = \sigma}{\vdash \vec{u} \backslash \square : \vec{\mu} \rightarrow \sigma} \text{ HOLE}$$

$$\frac{\vdash \vec{m} \backslash M : \vec{\mu} \rightarrow \nu \rightarrow \sigma \quad \vdash \vec{n} \backslash N : \vec{\mu} \rightarrow \nu \quad |\vec{m}| = |\vec{n}| = |\vec{\mu}|}{\vdash (\vec{m} \ \vec{n}) \backslash (M \ N) : \vec{\mu} \rightarrow \sigma} \text{ AP}$$

In the HOLE rule \vec{u} is a **unit sequence**. That is, \vec{u} is a sequence of 0 and 1 containing exactly one occurrence of 1.

An example: S combinator

We show an example of type-assignment for the S combinator $\lambda_{xyz}(xz yz)$. We write μ_x , μ_y and μ_z for the types of x , y and z . We write σ for the type of $(xz yz)$ and write ν for the type introduced by the bottom application of the APP rule. We also write $\vec{\mu} \rightarrow \sigma$ for $\mu_x \rightarrow \mu_y \rightarrow \mu_z \rightarrow \sigma$.

$$\frac{\frac{1 \setminus 0 \setminus 0 : \vec{\mu} \rightarrow \mu_x}{10 \setminus 00 \setminus 01 : \vec{\mu} \rightarrow \nu \rightarrow \sigma} \quad \frac{0 \setminus 0 \setminus 1 : \vec{\mu} \rightarrow \mu_z}{00 \setminus 10 \setminus 01 : \vec{\mu} \rightarrow \nu}}{0 \setminus 1 \setminus 0 : \vec{\mu} \rightarrow \mu_y \quad 0 \setminus 0 \setminus 1 : \vec{\mu} \rightarrow \mu_z} \quad (10 \ 00) \setminus (00 \ 10) \setminus (01 \ 01) : \vec{\mu} \rightarrow \sigma$$

By analyzing the top left application of APP, we have $\mu_x = \mu_z \rightarrow \nu \rightarrow \sigma$, and from the top right application of APP, we have $\mu_y = \mu_z \rightarrow \nu$. Writing μ for μ_z , we have:

$$\mu_x = \mu \rightarrow \nu \rightarrow \sigma, \quad \mu_y = \mu \rightarrow \nu, \quad \mu_z = \mu.$$

An example: S combinator (cont.)

Using the λ -notation, the same derivation can be written as follows.

$$\frac{\frac{\lambda_{xyz}x : \vec{\mu} \rightarrow \mu_x \quad \lambda_{xyz}z : \vec{\mu} \rightarrow \mu_z}{\lambda_{xyz}xz : \vec{\mu} \rightarrow \nu \rightarrow \sigma} \quad \frac{\lambda_{xyz}x : \vec{\mu} \rightarrow \mu_y \quad \lambda_{xyz}z : \vec{\mu} \rightarrow \mu_z}{\lambda_{xyz}yz : \vec{\mu} \rightarrow \nu}}{\lambda_{xyz}(xz \ yz) : \vec{\mu} \rightarrow \sigma}$$

A final remark

Hilbert style formulation of the minimal implicative logic can be obtained as follows.

$$\frac{\vec{\mu}_i = \sigma}{\vec{\mu} \rightarrow \sigma} \text{ Axiom} \qquad \frac{\vec{\mu} \rightarrow \nu \rightarrow \sigma \quad \vec{\mu} \rightarrow \nu}{\vec{\mu} \rightarrow \sigma} \text{ MP}$$

For example, we have:

A final remark

Hilbert style formulation of the minimal implicational logic can be obtained as follows.

$$\frac{\vec{\mu}_i = \sigma}{\vec{\mu} \rightarrow \sigma} \text{ Axiom} \qquad \frac{\vec{\mu} \rightarrow \nu \rightarrow \sigma \quad \vec{\mu} \rightarrow \nu}{\vec{\mu} \rightarrow \sigma} \text{ MP}$$

For example, we have:

$$\frac{\frac{\vec{\mu} \rightarrow \mu \rightarrow \nu \rightarrow \sigma}{\vec{\mu} \rightarrow \nu \rightarrow \sigma} \quad \frac{\vec{\mu} \rightarrow \mu \quad \vec{\mu} \rightarrow \mu \rightarrow \nu}{\vec{\mu} \rightarrow \mu}}{\vec{\mu} \rightarrow \sigma},$$

where

$$\vec{\mu} \rightarrow * = (\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow *$$

for $*$ = $\mu \rightarrow \nu \rightarrow \sigma$, $\mu \rightarrow \nu$ or μ are axioms.

A final remark (cont.)

Or, equivalently,

1. $(\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow (\mu \rightarrow \nu \rightarrow \sigma)$ (Axiom)
2. $(\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow (\mu \rightarrow \nu)$ (Axiom)
3. $(\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow \mu$ (Axiom)
4. $(\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow \nu \rightarrow \sigma$ (MP 1, 3)
5. $(\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow \nu$ (MP 2, 3)
6. $(\mu \rightarrow \nu \rightarrow \sigma) \rightarrow (\mu \rightarrow \nu) \rightarrow \mu \rightarrow \sigma$ (MP 4, 5)